

eman ta zabal zazu



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FACULTY
OF COMPUTER
SCIENCE
UNIVERSITY
OF THE BASQUE
COUNTRY



Intelligent
Systems Group

Broadening the Horizon of Adversarial Attacks in Deep Learning

Jon Vadillo

Work coauthored by Roberto Santana and Jose A. Lozano

April 2023

Overview

Conventional scenarios

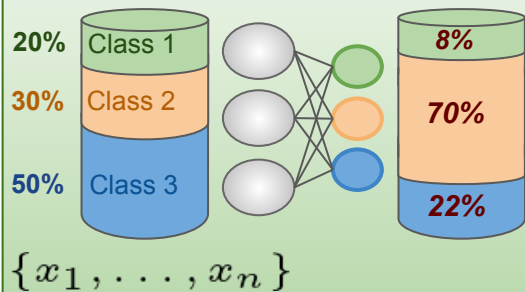
Single
instance
scenarios



Classification
models

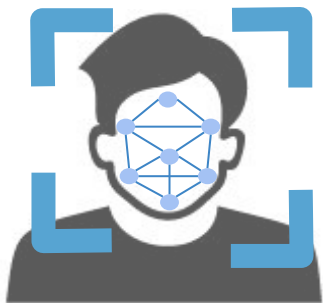


Part 1
Multiple-instance
attacks paradigms

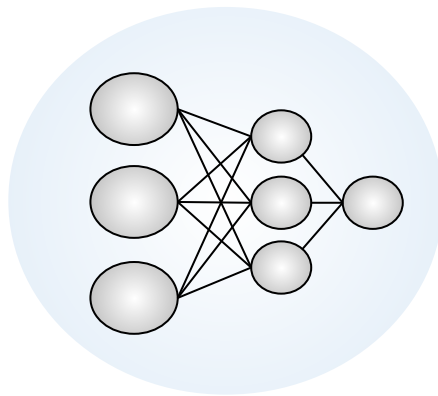


Part 2
Attacks against
explainable models

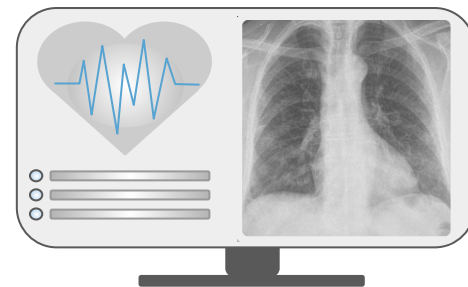




Identity recognition



Deep Learning



Healthcare



Speech Processing



Self-driving vehicles

Adversarial Examples

Prediction: *Police van*



Original Input

+



Adversarial
Perturbation

=



Adversarial
Example

Prediction: *Printer*

Adversarial Examples

Taxonomy

Type of
misclassification

Scope of the
perturbation

Resources available
to the adversary

Adversarial Examples

Taxonomy

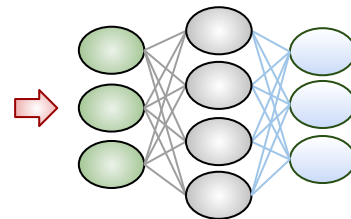
Type of misclassification

Scope of the perturbation

Resources available to the adversary

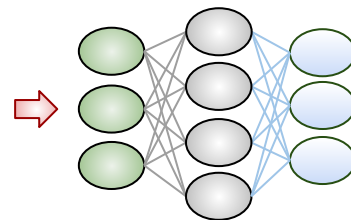
Untargeted Attack

Adv. Example



~~Police van~~

Targeted Attack



School Bus

Adversarial Examples

Taxonomy

Type of misclassification

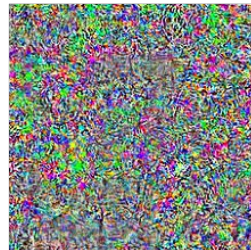
Scope of the perturbation

Resources available to the adversary



Original Input

+

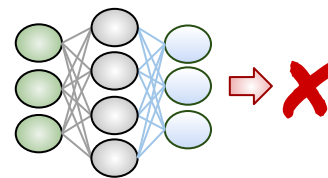


Individual Perturbation

=



Adv. Example



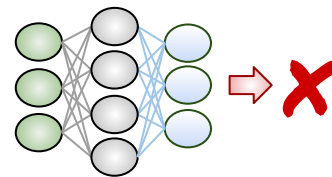
Adversarial Examples

Taxonomy

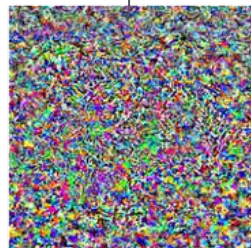
Type of misclassification

Scope of the perturbation

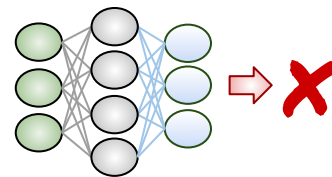
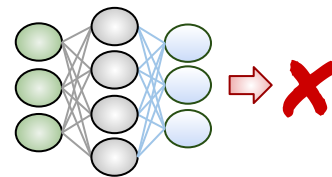
Resources available to the adversary



+



=



Original Input

Universal
Perturbation

Adv. Example

Adversarial Examples

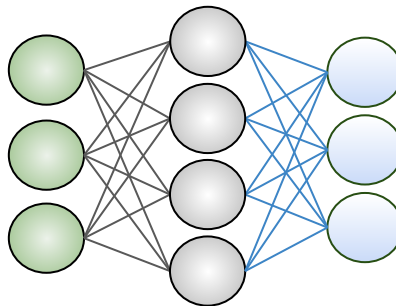
Taxonomy

Type of
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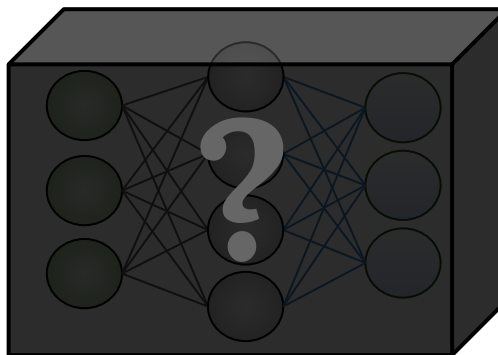
Scope of the
perturbation

Resources available
to the adversary

**White-box
scenario**



**Black-box
scenario**



Adversarial Examples

Taxonomy

Type of misclassification	Untargeted Targeted
---------------------------	------------------------

Scope of the perturbation	Individual Universal
---------------------------	-------------------------

Resources available to the adversary	Black-box White-box
--------------------------------------	------------------------

Adversarial Examples

Attack Methods

Fast Gradient Sign Method

$$x' = x + \epsilon \cdot \underbrace{\text{sign}\left(\underbrace{\nabla\mathcal{L}(x, y_c, f)}_{\text{Prediction loss}}\right)}_{\text{Gradient sign}}$$

Where $f(x) = y_c$

$$f : \mathbb{R}^d \rightarrow \{y_1, y_2, \dots, y_k\}$$

Adversarial Examples

Attack Methods

Projected Gradient Descent

$$x'_{[i+1]} = \underbrace{\mathcal{B}_\epsilon^x}_{\text{Projection operator}} \left(x'_{[i]} + \alpha \cdot \underbrace{\text{sign}(\underbrace{\nabla \mathcal{L}(x'_{[i]}, y_c, f)})}_{\text{Prediction loss}} \right)$$

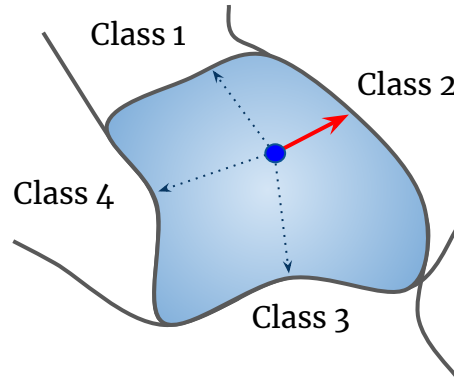
Gradient sign

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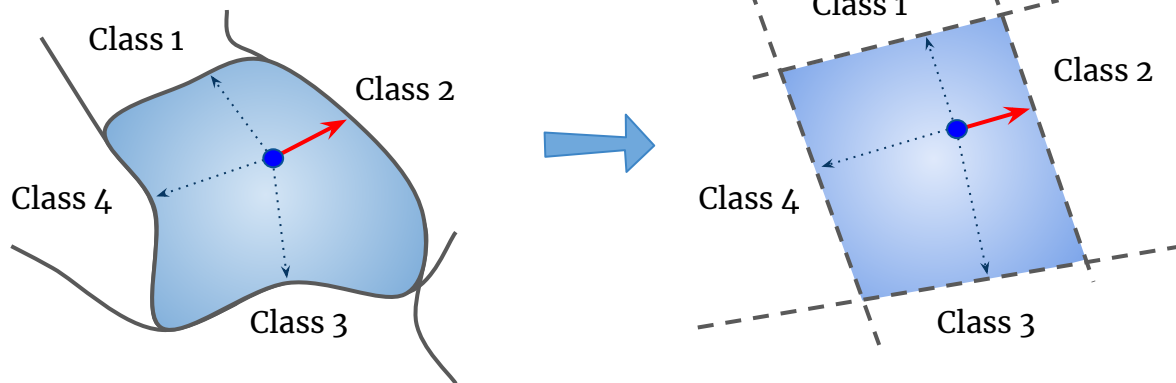
Generating Adversarial Examples

DeepFool



Generating Adversarial Examples

DeepFool



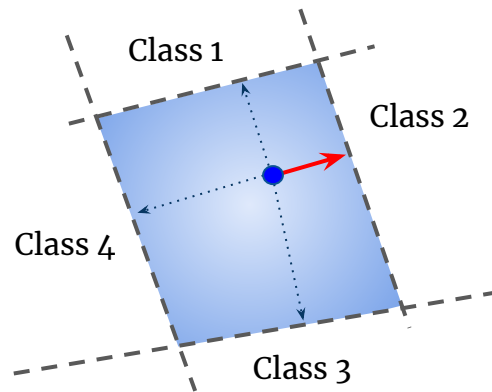
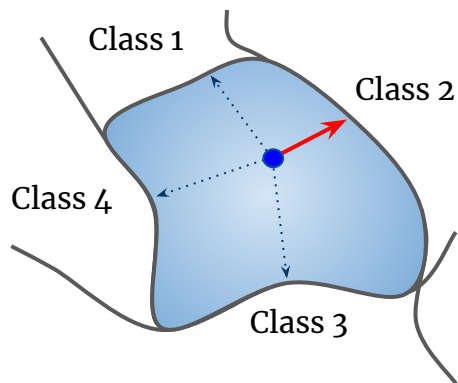
Source class: y_c

Boundary estimation (class y_j):

$$\text{Distance: } \frac{\|f'_j\|}{\|w'_j\|_2} = \frac{|\hat{f}(x'_{[i]})_j - \hat{f}(x'_{[i]})_c|}{\underbrace{\|\nabla \hat{f}(x'_{[i]})_j - \nabla \hat{f}(x'_{[i]})_c\|_2}_{\text{Direction}}}$$

Generating Adversarial Examples

DeepFool



Source class: y_c

Boundary estimation (class y_j):

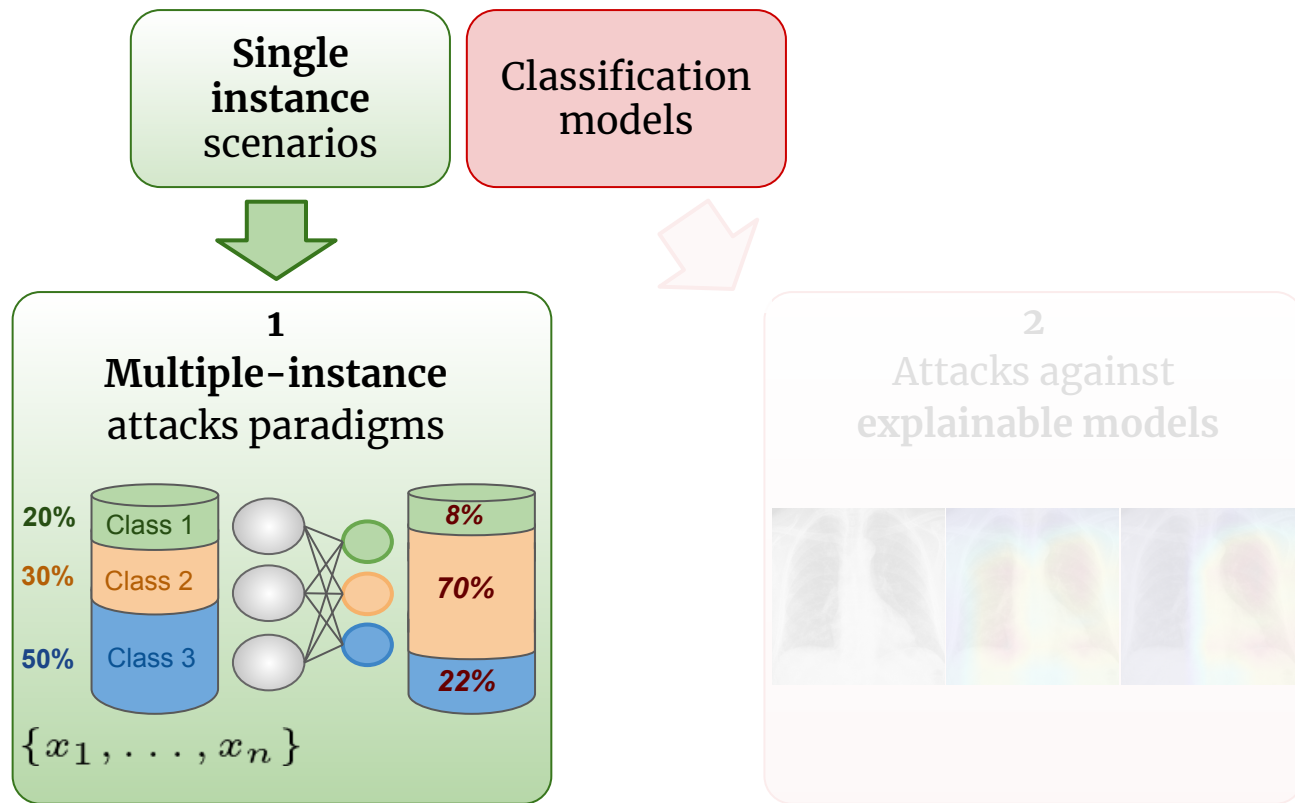
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$$\text{Closest boundary: } l = \operatorname{argmin}_{j \neq c} \frac{|f'_j|}{\|w'_j\|_2}$$

$$\text{Update rule: } x'_{[i+1]} \leftarrow x'_{[i]} + \frac{|f'_l|}{\|w'_l\|_2^2} w'_l$$

Extending Adversarial Attacks to Produce Adversarial Class Probability Distributions

J. Vadillo, R. Santana, J. A. Lozano. (2023). *Journal of Machine Learning Research*, volume 23, pp. 1-42.



Introduction

'Single-instance' **attack paradigm** Focus on individual inputs (isolatedly): x

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Objective: Develop an attack method $\Phi(x)$ capable of:

- 1.
- 2.

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1. Producing misclassifications: $f(\Phi(x)) \neq f(x)$

2.

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Objective: Develop an attack method $\Phi(x)$ capable of:

1. Producing misclassifications: $f(\Phi(x)) \neq f(x)$

2. Controlling the frequency with which each class is predicted: $P_{x \sim \mathcal{P}(X)} [f(\Phi(x)) = y_i] = \tilde{p}_i, 1 \leq i \leq k$

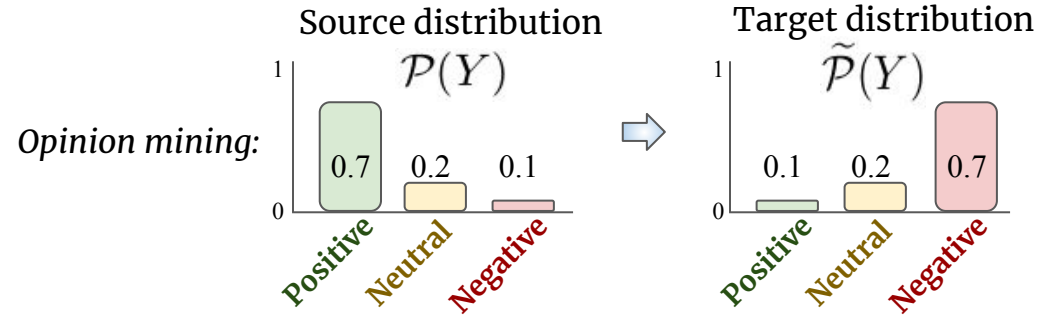
$$\tilde{\mathcal{P}}(Y) = (\tilde{p}_1, \dots, \tilde{p}_k)$$

Target distribution of the output classes

Motivation

Representative use-cases:

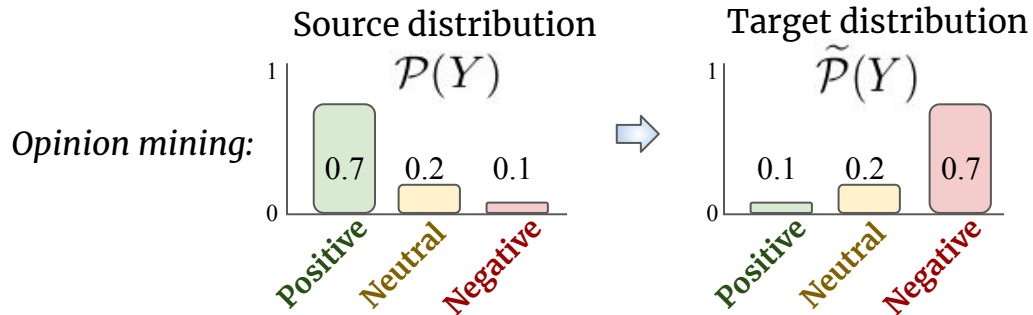
1. Aggregated predictions are **highly relevant** (*quantification...*)
 - a. Collective information retrieval (*opinion mining...*)
 - b. Prevalence of a disease (*epidemiology...*)



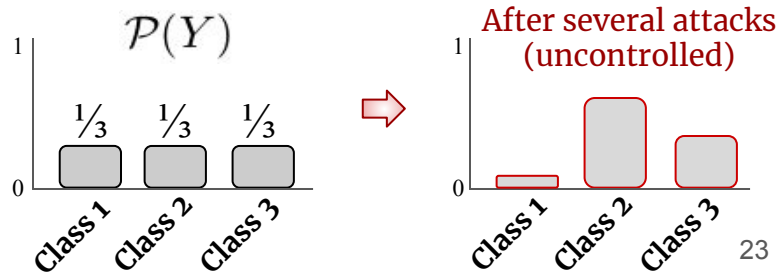
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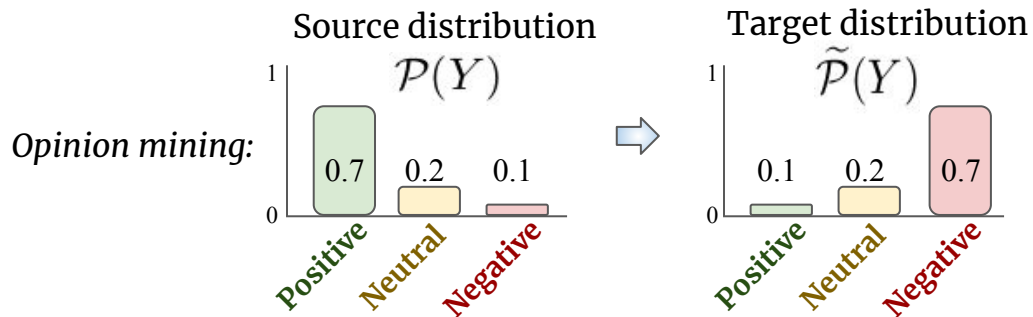
2. Fool the model several times preserving the source distribution



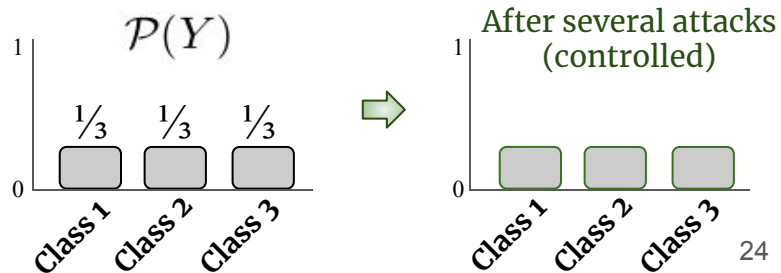
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Approach

Requirement: a targeted adversarial attack algorithm

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Main objective:

$$T = \begin{pmatrix} t_{1,1} & t_{1,2} & \cdots & t_{1,k} \\ t_{2,1} & t_{2,2} & \cdots & t_{2,k} \\ \vdots & \vdots & \ddots & \vdots \\ t_{k,1} & t_{k,2} & \cdots & t_{k,k} \end{pmatrix}$$

Transition matrix

Approach

Requirement: a targeted adversarial attack algorithm

Main objective:

$$\begin{array}{ccc} \mathcal{P}(Y) & \overset{T}{\left(\begin{array}{cccc} t_{1,1} & t_{1,2} & \cdots & t_{1,k} \\ t_{2,1} & t_{2,2} & \cdots & t_{2,k} \\ \vdots & \vdots & \ddots & \vdots \\ t_{k,1} & t_{k,2} & \cdots & t_{k,k} \end{array} \right)} & \tilde{\mathcal{P}}(Y) \\ (p_1, \dots, p_k) & & (\tilde{p}_1, \dots, \tilde{p}_k) \\ \text{Source} & \text{Transition matrix} & \text{Target} \\ \text{distribution} & & \text{distribution} \end{array}$$

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Bounded perturbation $\|x' - x\| \leq \epsilon$

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Bounded perturbation $\|x' - x\| \leq \epsilon \Rightarrow$ Some class transitions might not be feasible

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Attack process: Given:

$$x$$
$$f(x) = y_i$$

Approach

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$$\underbrace{\mathcal{P}(Y)}_{\text{Source distribution}} \underbrace{\begin{pmatrix} t_{1,1} & t_{1,2} & \cdots & t_{1,k} \\ t_{2,1} & t_{2,2} & \cdots & t_{2,k} \\ \vdots & \vdots & \ddots & \vdots \\ t_{k,1} & t_{k,2} & \cdots & t_{k,k} \end{pmatrix}}_{\text{Transition matrix } T} = \underbrace{\tilde{\mathcal{P}}(Y)}_{\text{Target distribution}} = (\tilde{p}_1, \dots, \tilde{p}_k)$$

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Attack process:



Approach

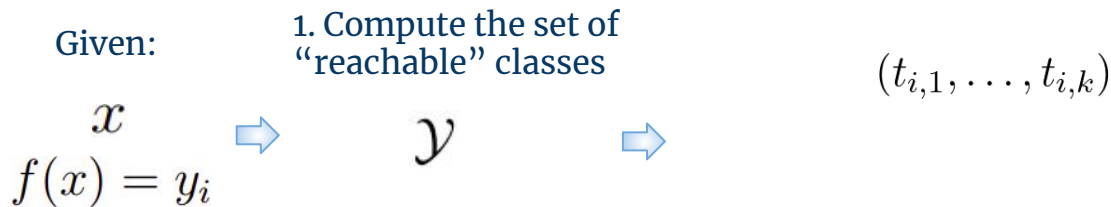
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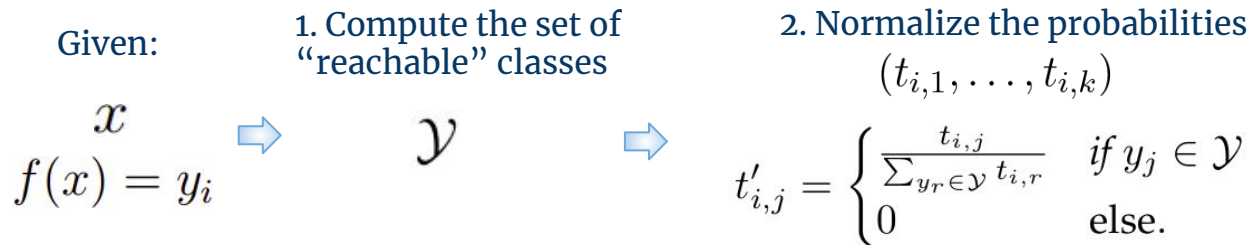
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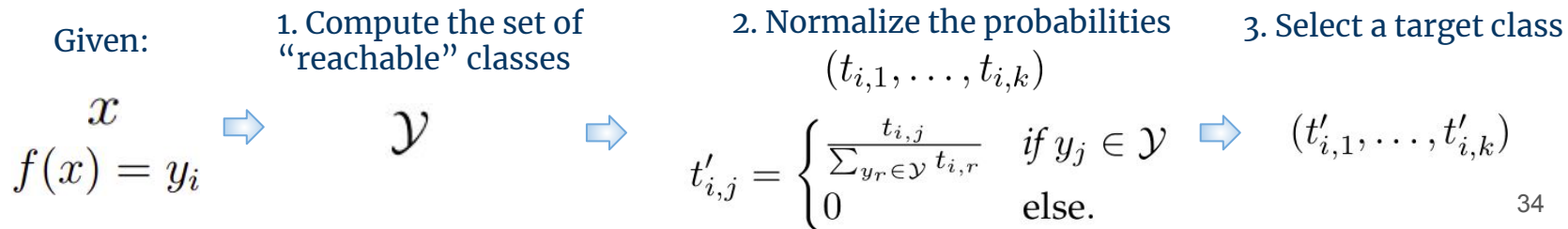
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Attack process:



Generating transition matrices

$$\min \quad z = \sum_{i=1}^k t_{i,i}$$

$$\text{s.t.} \quad \sum_{j=1}^k t_{i,j} = 1 \quad \forall i \in \{1, \dots, k\}$$

$$0 \leq t_{i,j} \leq 1$$

$$\forall i, j \in \{1, \dots, k\}$$

$$\mathcal{P}(Y) \cdot T = \tilde{\mathcal{P}}(Y)$$

} T is a transition matrix

Generating transition matrices

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$$\min \quad z = \sum_{i=1}^k t_{i,i} \quad \left. \vphantom{\sum} \right\} \text{Maximize the fooling rate}$$

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Different solutions might produce different results in practice

Generating transition matrices

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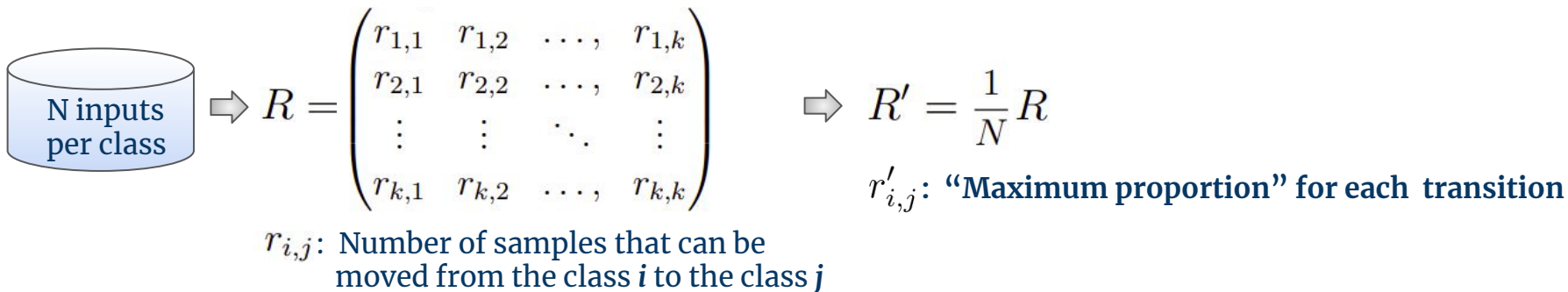
Additional constraints to include information about the problem

Four different methods proposed (+2 baselines)

Creating more informed transition matrices

Example: Upper-Bound Method (UBM)

Intuition: Prioritize those transitions that are feasible with higher frequency.



Upper bound for the highest probability:

$$t_{i,j} \leq r'_{i,j} \quad \forall i, j \in \{1, \dots, k\}$$

Creating more informed transition matrices

Example: Upper-Bound Method (UBM)

$$\min z = \sum_{i=1}^k t_{i,i}$$

$$\text{s.t. } \sum_{j=1}^k t_{i,j} = 1$$

$$0 \leq t_{i,j} \leq 1$$

$$\mathcal{P}(Y) \cdot T = \tilde{\mathcal{P}}(Y)$$

$$\forall i \in \{1, \dots, k\}$$

$$\forall i, j \in \{1, \dots, k\}$$

} T is a transition matrix
} T produces the target distribution

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$$\mathcal{P}(Y) \cdot T = \tilde{\mathcal{P}}(Y)$$

$$t_{i,j} \leq r'_{i,j}$$

$$\forall i \in \{1, \dots, k\}$$

$$\forall i, j \in \{1, \dots, k\}$$

$$\forall i, j \in \{1, \dots, k\}$$

- } T is a transition matrix
- } T produces the target distribution
- } Avoid “excessively high” probabilities

Creating more informed transition matrices

Example: Upper-Bound Method (UBM)

$$\min z = \sum_{i=1}^k t_{i,i} + \sum_{i=1}^k \sum_{j=1}^k \eta_{i,j}$$

$$\text{s.t. } \sum_{j=1}^k t_{i,j} = 1 \quad \forall i \in \{1, \dots, k\}$$

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$$\mathcal{P}(Y) \cdot T = \tilde{\mathcal{P}}(Y)$$

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- } T is a transition matrix
- } T produces the target distribution
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Creating more informed transition matrices

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$$0 \leq t_{i,j} \leq 1 \quad \forall i, j \in \{1, \dots, k\}$$

$$\mathcal{P}(Y) \cdot T = \tilde{\mathcal{P}}(Y)$$

$$t_{i,j} \leq r'_{i,j} + \eta_{i,j} \quad \forall i, j \in \{1, \dots, k\}$$

$$t_{i,j} \geq l_{i,j} \quad \forall i, j \in \{1, \dots, k\}, i \neq j$$

$$0 \leq l_{i,j} \leq \xi \quad \forall i, j \in \{1, \dots, k\}$$

} T is a transition matrix
}

} T produces the target distribution

} **Avoid “excessively high” probabilities**

} Avoid null probabilities

Creating more informed transition matrices

Example: Upper-Bound Method (UBM)

$$\min z = \sum_{i=1}^k t_{i,i} + \sum_{i=1}^k \sum_{j=1}^k \eta_{i,j} - \sum_{i=1}^k \sum_{\substack{j=1 \\ j \neq i}}^k l_{i,j}$$

$$\begin{array}{ll} \text{s.t.} & \sum_{j=1}^k t_{i,j} = 1 \quad \forall i \in \{1, \dots, k\} \\ & 0 \leq t_{i,j} \leq 1 \quad \forall i, j \in \{1, \dots, k\} \\ & \mathcal{P}(Y) \cdot T = \tilde{\mathcal{P}}(Y) \\ & t_{i,j} \leq r'_{i,j} + \eta_{i,j} \quad \forall i, j \in \{1, \dots, k\} \\ & t_{i,j} \geq l_{i,j} \quad \forall i, j \in \{1, \dots, k\}, i \neq j \\ & 0 \leq l_{i,j} \leq \xi \quad \forall i, j \in \{1, \dots, k\} \end{array}$$

T is a transition matrix

T produces the target distribution

Avoid “excessively high” probabilities

Avoid null probabilities

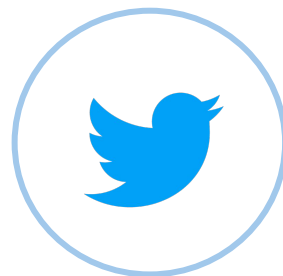
Main results and conclusions

Evaluation:

- 2 classification problems (speech commands, TSA):



Speech Command Classification
12 classes: {"yes", "no", "stop", "go" ...}

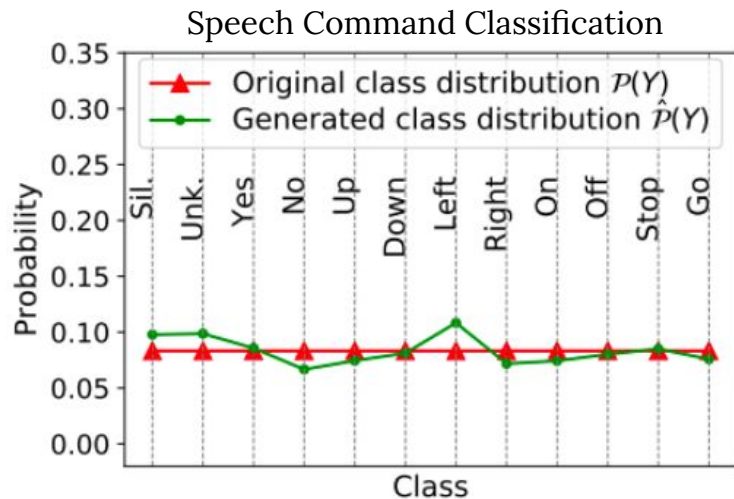


Tweet Sentiment Analysis
6 classes: {anger, fear, joy...}

Main results and conclusions

Evaluation:

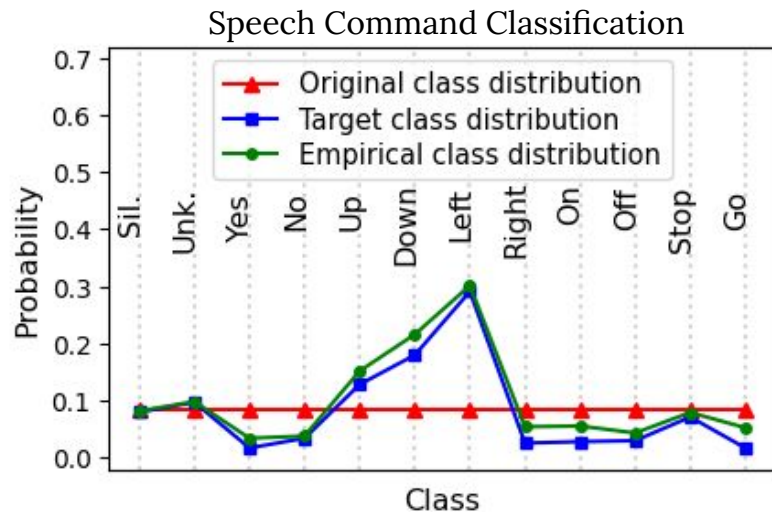
- 2 classification problems (**speech commands**, TSA)
- Different setups for the target distribution (**original**, random...)



Main results and conclusions

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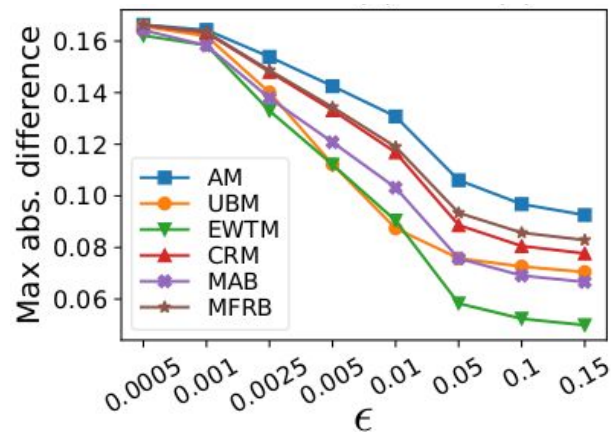
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Main results and conclusions

Evaluation:

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- Multifactorial (fooling rate, KL-divergence, correlation...)



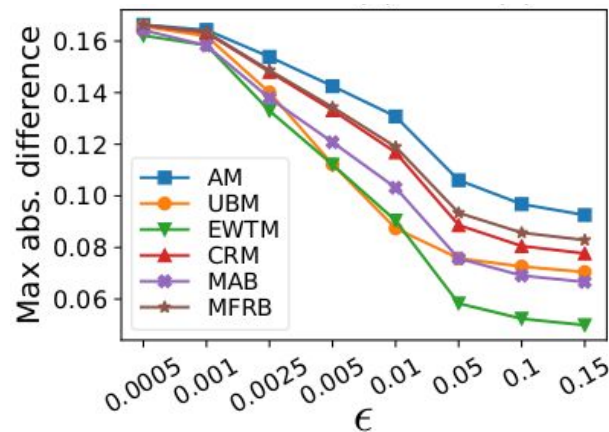
		Fooling rate (%)							
		Maximum distortion amount (ϵ)							
		0.0005	0.001	0.0025	0.005	0.01	0.05	0.1	0.15
Methods	AM	3.80	11.17	31.58	46.98	62.36	87.29	92.31	94.69
	UBM	0.45	2.88	19.06	38.03	57.89	87.05	92.28	94.68
	EWTM	1.88	6.87	23.59	38.65	53.60	79.66	85.21	87.84
	CRM	3.90	11.29	31.55	46.88	62.23	87.26	92.31	94.70
Baselines	MAB	2.06	6.55	21.33	33.72	46.87	71.02	76.96	79.64
	MFRB	3.93	11.47	32.02	47.44	62.80	87.54	92.48	94.86
	Max. FR	3.93	11.47	32.02	47.44	62.80	87.54	92.48	94.86

Results averaged for 100 random target distributions.

Main results and conclusions

Evaluation:

- 2 classification problems (**speech commands**, TSA)
- Different setups for the target distribution (original, **random...**)
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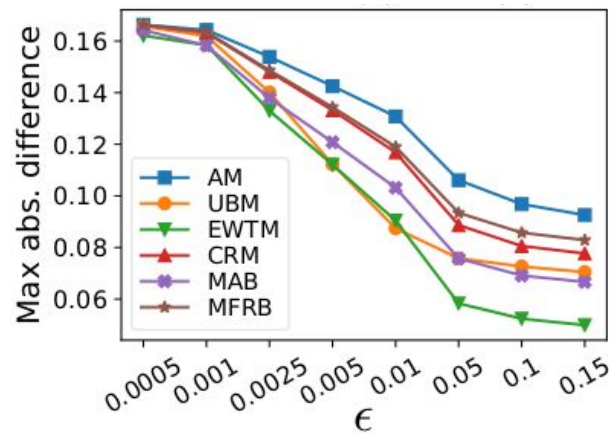
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- **Closely approximating the target distributions**
- **Maintain a high fooling rate**



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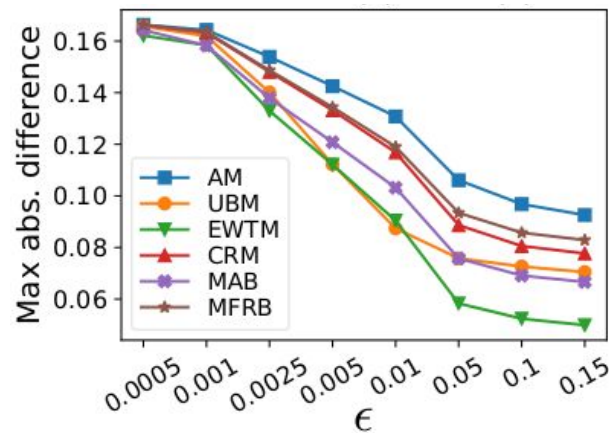
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- **Fooling rate vs Similarity**



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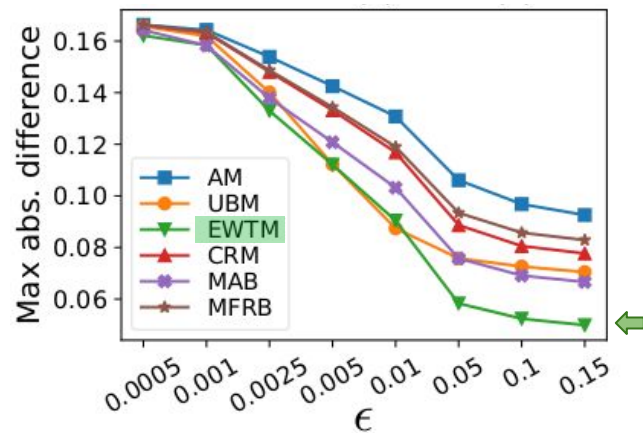
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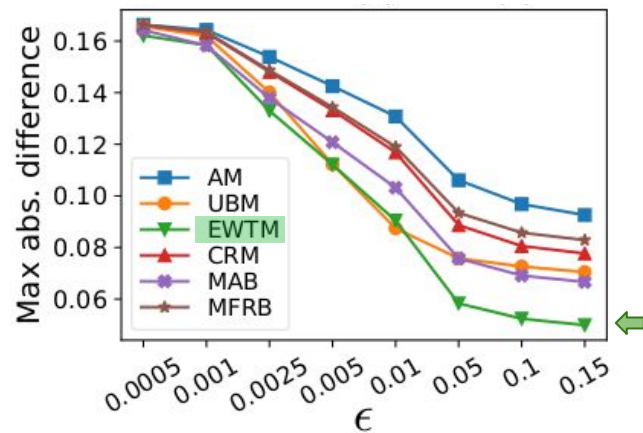
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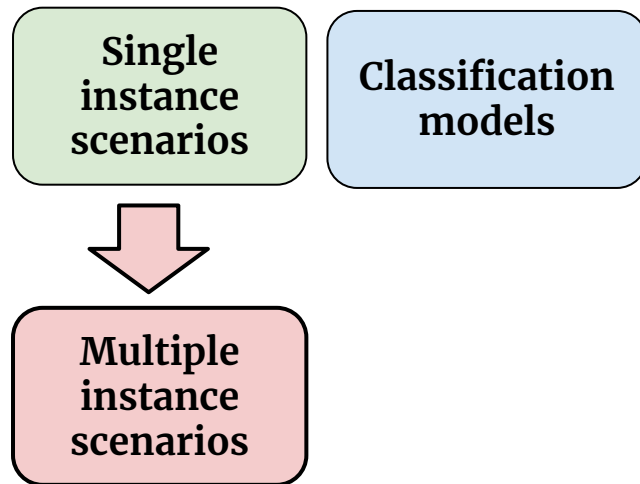


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Results averaged for 100 random target distributions.

Contributions

- **Novel multiple-instance attack paradigm:**
 - Produce misclassifications for the incoming inputs
 - Control the probability distribution for the output classes
- **Four different methods proposed**
- **Expose novel vulnerabilities in multiple scenarios and use-cases:**
 - Adversarial label-drifts
 - Attacks less detectable in the long run



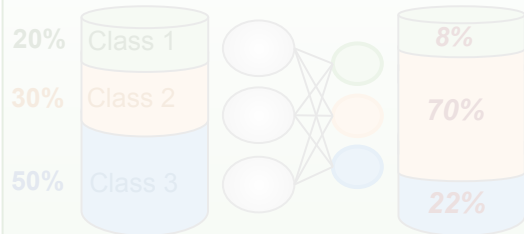
When and How to Fool Explainable Models (and Humans) With Adversarial Examples

J. Vadillo, R. Santana, J. A. Lozano. Under Review.

Single
instance
scenarios

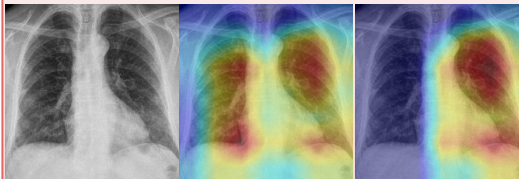
Classification
models

1
Multiple-instance
attacks paradigms






$\{x_1, \dots, x_n\}$

2
Attacks against
explainable models



Motivation

Observed factors

Input	Output	Explanation	Scenario
			• Regular attacks

Scenario 1: Only the input is observed



**Adversarial
Example**

Undetectable
Threats

Motivation

Observed factors

Input Output Explanation Scenario



• Regular attacks



• Observing the input and the output

Scenario 1: Only the input is observed



Adversarial Example

Undetectable Threats

Scenario 2: The output is shown to the user



Adversarial Example












Model's Output

Undetectable? Threats?

Motivation

Observed factors

Input	Output	Explanation	Scenario
			• Regular attacks
			• Observing the input and the output
			• Attacks against explainable models

Scenario 1: Only the input is observed



Adversarial Example

Undetectable Threats










Scenario 2: The output is shown to the user



Adversarial Example



Motivation

	Input	Output	Explanation	Scenario
Observed factors				• Regular attacks
				• Observing the input and the output
				• Attacks against explainable models

Objective

How to generate **stealthy** and **realistic** adversarial attacks against explainable models (under human supervision):

- Requirements
- Attack types
- Critical scenarios

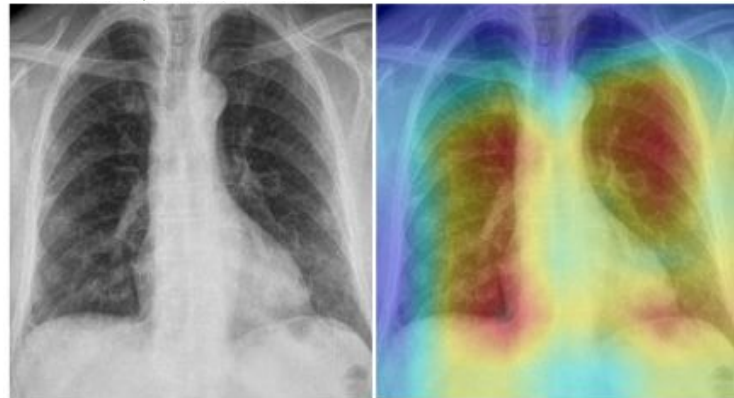
Explanation methods

Local feature-based explanations

Prediction:
Great Pyrenees



Prediction:
COVID-19



Prediction: *Negative*

The movie was absolutely awful!

Adversarial attacks

Target class (y_t): $f(x') = y_t$

Target explanation (ξ_t): $g(x', f) = \xi_t$

Adversarial attacks

Target class (y_t): $f(x') = y_t$

Target explanation (ξ_t): $g(x', f) = \xi_t$

Projected Gradient Descent

$$x'_{[i+1]} = \underbrace{\mathcal{B}_\epsilon^x}_{\text{Projection operator}} \left(x'_{[i]} - \alpha \cdot \text{sign} \left(\underbrace{\nabla \mathcal{L}(x'_{[i]}, y_t, \xi_t, \tau, f)}_{\text{Attack loss}} \right) \right)$$

Adversarial attacks

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Generalized attack loss

$$\underbrace{\mathcal{L}(x, y_t, \xi_t, \tau, f)} = (1 - \tau) \cdot \mathcal{L}_{pred}(x, y_t, f) + \tau \cdot \mathcal{L}_{expl}(x, \xi_t, f)$$

Adversarial attacks

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Adversarial attacks

Target class (y_t): $f(x') = y_t$

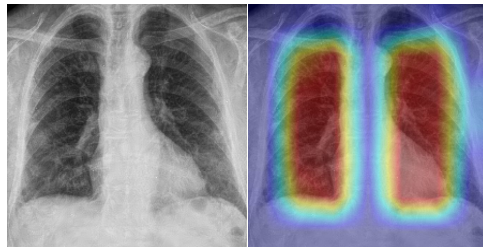
Target explanation (ξ_t): $g(x', f) = \xi_t$

Projected Gradient Descent

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Generalized attack loss

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$$\mathcal{L}_{expl}(x, \xi_t, f) = \|\xi_t - g(x, f)\|_2$$

Adversarial attacks

Classification

Ground-truth class of x : y_x

Model's classification: $f(x)$

Human's classification: $h(x)$

Explanation

Adversarial attacks

Classification

Ground-truth class of x : y_x

Model's classification: $f(x)$

Human's classification: $h(x)$

Explanation

Explanation: $A(x)$ **Model's:** $A_f(x)$ **Human's:** $A_h(x)$

Adversarial attacks

Classification

Ground-truth class of x : y_x

Model's classification: $f(x)$

Human's classification: $h(x)$

Explanation

Explanation: $A(x)$ Model's: $A_f(x)$ Human's: $A_h(x)$

Agreement: $A_f(x) \approx A_h(x)$

Disagreement: $A_f(x) \not\approx A_h(x)$

Adversarial attacks

Classification

Ground-truth class of x : y_x

Model's classification: $f(x)$

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Explanation

Explanation: $A(x)$ Model's: $A_f(x)$ Human's: $A_h(x)$

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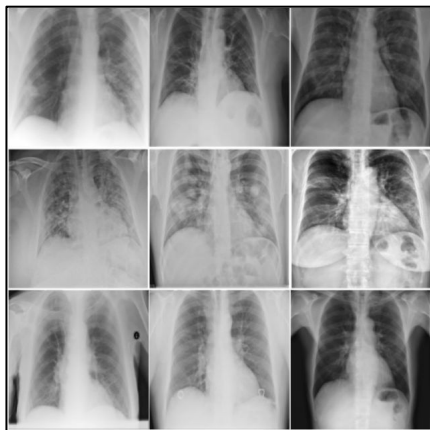
Consistency with class y : $A(x) \sim y$

Adversarial attacks

Case 1 $f(x) = h(x)$ $A_f(x) \not\approx A_h(x)$

Case 2 $f(x) \neq h(x)$ $A_f(x) \not\approx A_h(x)$

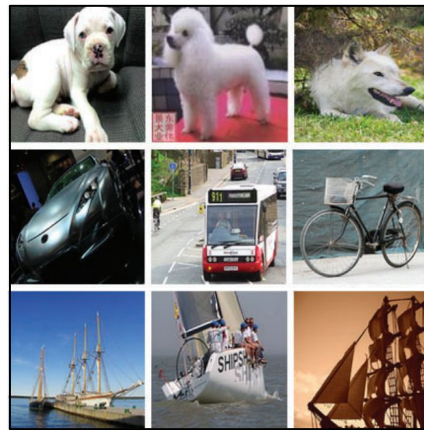
Case 3 $f(x) \neq h(x)$ $A_f(x) \approx A_h(x)$



Medical Image Diagnosis

Dataset: *COVIDx*
(3 classes)

Model: *Covid-Net*
(92.6% accuracy)



Large-Scale Image Recognition

Dataset: *ImageNet*
(1000 classes)

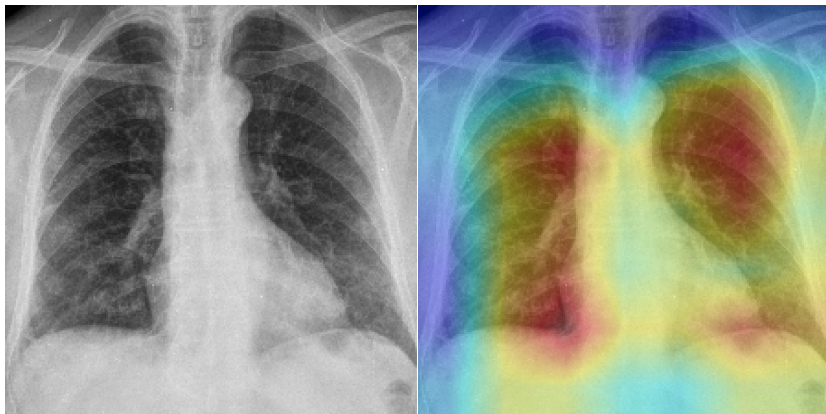
Model: *ResNet-50*
(74.9% accuracy)

Case 1

$$f(x) = h(x) \wedge A_f(x) \not\approx A_h(x)$$

Clean input

Prediction: *COVID-19*

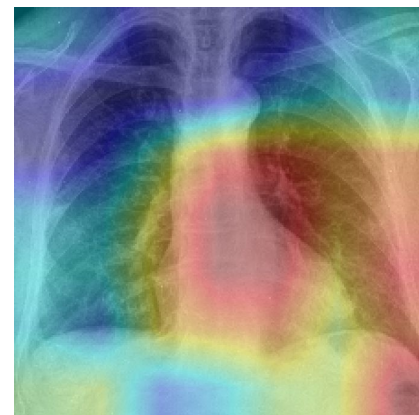
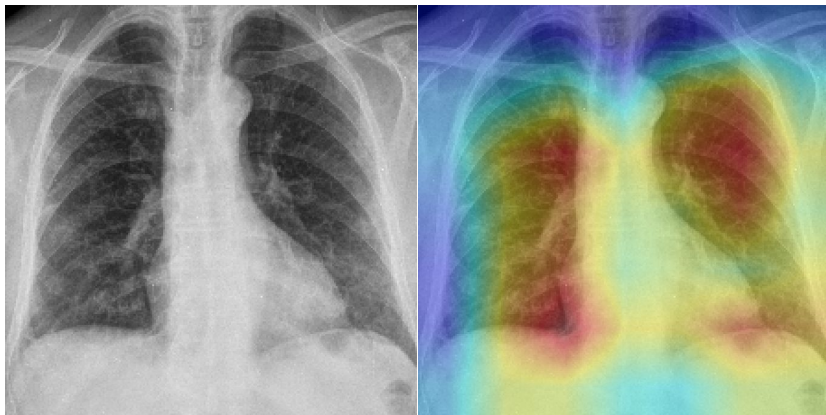


Case 1

$$f(x) = h(x) \wedge A_f(x) \not\approx A_h(x)$$

Clean input

Prediction: *COVID-19*



Case 1

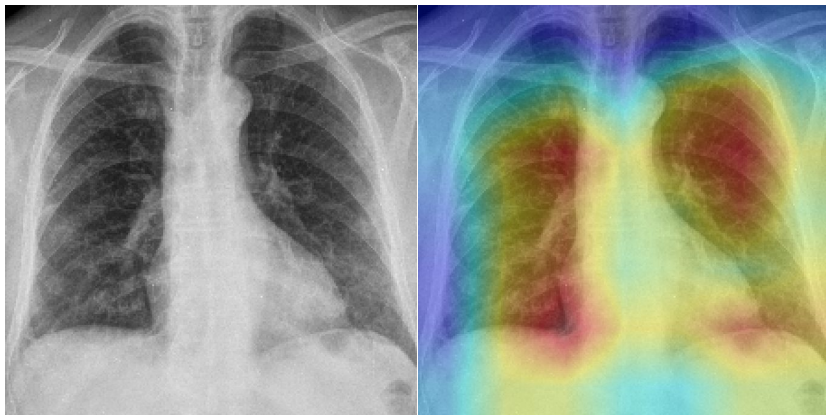
$$f(x) = h(x) \wedge A_f(x) \not\approx A_h(x)$$

$$A_f(x) \sim y_x$$

Omit information
Misleading recommendations

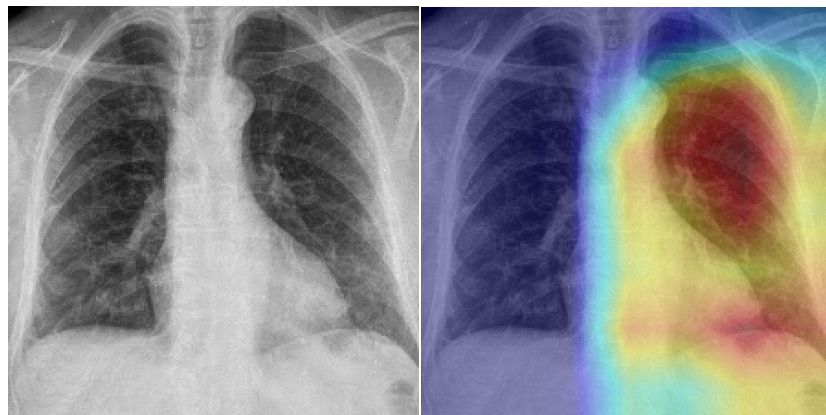
Clean input

Prediction: *COVID-19*



Adversarial example

Prediction: *COVID-19*



Case 1

$$f(x) = h(x) \wedge A_f(x) \not\approx A_h(x)$$

$$A_f(x) \sim y_x$$

Omit information
Misleading recommendations
Produce/hide biases

Clean input

Output: **Reject credit loan:**

- **Income** < 1200
- and **Gender** = **!**

Adversarial example

Output: **Reject credit loan:**

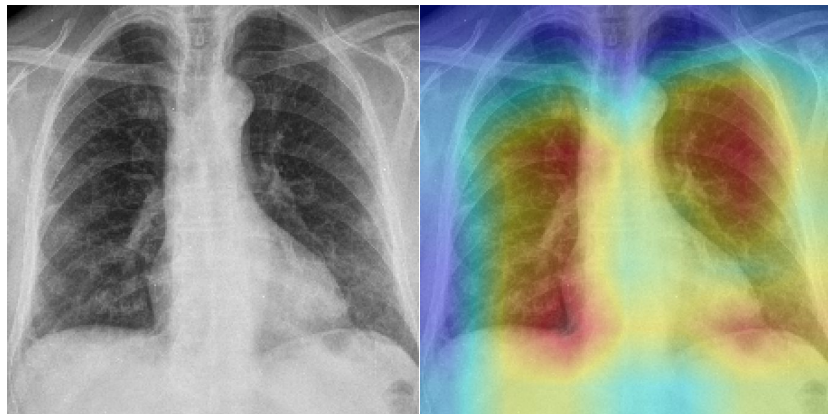
- **Income** < 1500
- and **Job** = None

Case 2

$$f(x) \neq h(x) \wedge A_f(x) \not\approx A_h(x)$$

Clean input

Prediction: *COVID-19*



Case 2

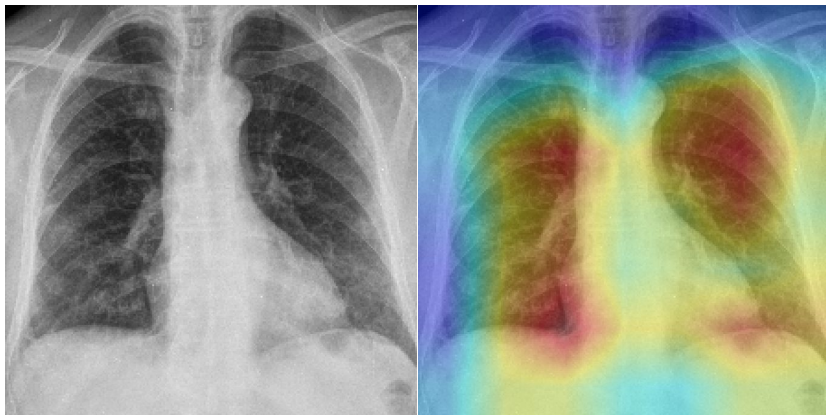
$$f(x) \neq h(x) \wedge A_f(x) \not\approx A_h(x)$$

$$A_f(x) \sim f(x)$$

The model supports its
(wrong) prediction

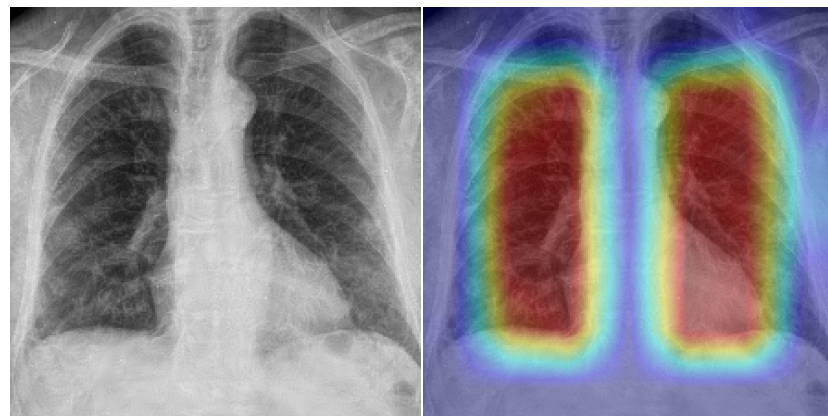
Clean input

Prediction: *COVID-19*



Adversarial example

Prediction: *normal*



Case 2

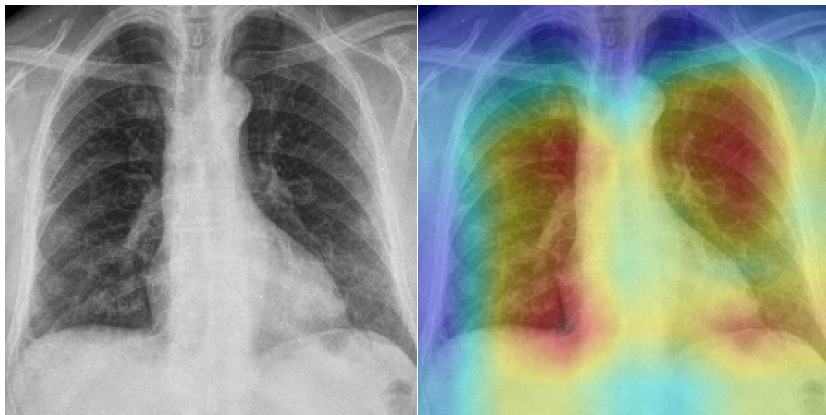
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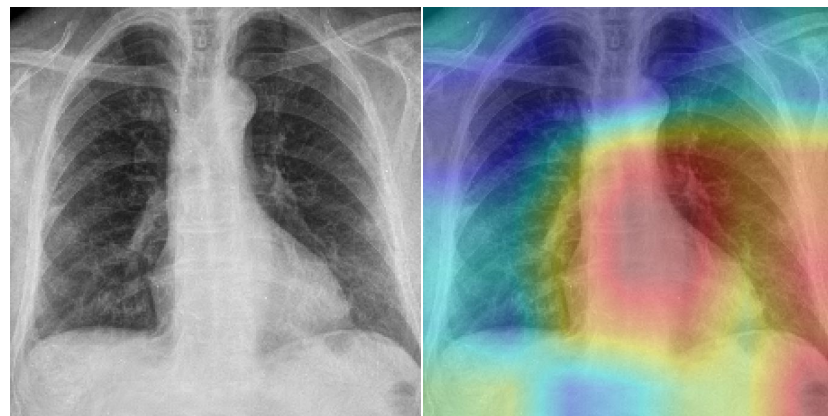
Clean input

Prediction: **COVID-19**



Adversarial example

Prediction: **normal**



Case 2

$$f(x) \neq h(x) \wedge A_f(x) \not\approx A_h(x)$$

$$A_f(x) \sim f(x)$$

The model supports its
(wrong) prediction

Shift the user's attention

Clean input

Prediction: *Curly-coated retriever*



**Large-Scale
Image
Recognition**

Case 2

$$f(x) \neq h(x) \wedge A_f(x) \neq A_h(x)$$

$$A_f(x) \sim f(x)$$

The model supports its
(wrong) prediction

Shift the user's attention

Clean input

Prediction: *Curly-coated retriever*



Adversarial input

Prediction: *Suit*



Case 3

$$f(x) \neq h(x) \wedge A_f(x) \approx A_h(x)$$

Clean input

Prediction: *Curly-coated retriever*



Case 3

$$f(x) \neq h(x) \wedge A_f(x) \approx A_h(x)$$

$$A_f(x) \sim y_x$$

$$A_f(x) \sim f(x)$$

} Ambiguity

Clean input

Prediction: *Curly-coated retriever*



Case 3

$$f(x) \neq h(x) \wedge A_f(x) \approx A_h(x)$$

$$A_f(x) \sim y_x$$

$$A_f(x) \sim f(x)$$

} Ambiguity

Clean input

Prediction: *Curly-coated retriever*



Curly-coated retriever



Irish water spaniel

Case 3

$$f(x) \neq h(x) \wedge A_f(x) \approx A_h(x)$$

$$A_f(x) \sim y_x$$

$$A_f(x) \sim f(x)$$

Ambiguity

Clean input

Prediction: *Curly-coated retriever*



Adversarial input

Prediction: *Irish water spaniel*



Cases

$$f(x) = h(x) \wedge A_f(x) \not\approx A_h(x) \wedge A_f(x) \sim y_x$$

$$f(x) \neq h(x) \wedge A_f(x) \not\approx A_h(x) \wedge A_f(x) \sim f(x)$$

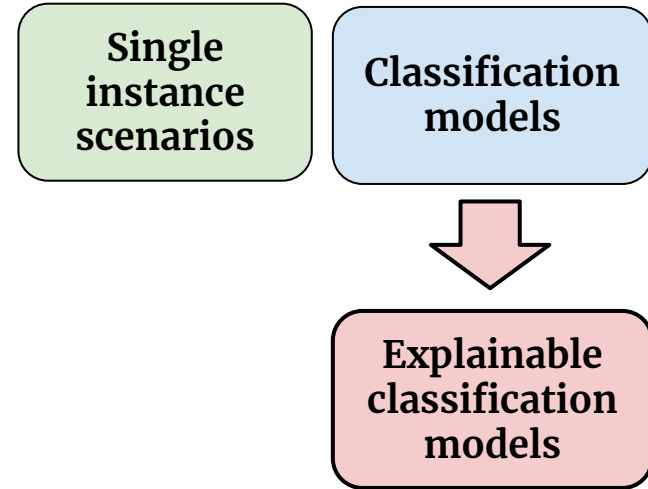
$$f(x) \neq h(x) \wedge A_f(x) \approx A_h(x) \wedge A_f(x) \sim y_x \wedge A_f(x) \sim f(x)$$

Additional factors and scenarios

- Type of explanation? (feature-based, prototype-based...)
- User expertise? (none, medium, high...)
- Objective? (knowledge acquisition, debugging, ethics...)
- Impact?

Contributions

- Comprehensive roadmap for the design of realistic attacks against explainable ML:
 - Attack types
 - Requirements
 - Critical scenarios
 - Illustrative experiments
- More rigorous study of adversarial attacks in this domain
- Raise awareness about the possible threats that both models and humans may face





Questions?

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